

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q80974

Koichiro YOKOYAMA, et al.

Appln. No.: 10/821,245

Group Art Unit: 2861

Confirmation No.: 8389

Examiner: Shelby Lee Fidler

Filed: April 09, 2004

For:

LIQUID EJECTING APPARATUS

STATEMENT UNDER 37 C.F.R. § 1.97(e)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

SUGHRUE MION, PLLC

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Date: March 29, 2006

Kelly/G. Hyndman

Registration No. 39,234





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INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/821,245

under 37 C.F.R. § 1.17(p) is required. However, since a statement can be made, a statement is submitted

herewith.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign

language documents, Applicant encloses herewith a copy of a Communication from a foreign patent

office in a counterpart application citing such documents, together with an English-language version (if

not already included) of at least that portion of the Communication indicating the degree of relevance

found by the foreign patent office.

English translations of foreign language documents are being submitted herewith, and therefore

no concise explanation for such foreign language documents is required.

The submission of the listed documents is not intended as an admission that any such document

constitutes prior art against the claims of the present application. Applicant does not waive any right to

take any action that would be appropriate to antedate or otherwise remove any listed document as a

competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and

the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said

Deposit Account.

Respectfully submitted,

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Date: March 29, 2006

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Substitute for Form 1449 A & B/PTO

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

| Comp. | Complete if Known | | | | |
|------------------------|-------------------|--|--|--|--|
| pplication Number | 10/821,245 | | | | |
| Confirmation Number | 8389 | | | | |
| Filing Date | April 09, 2004 | | | | |
| First Named Inventor | Koichiro YOKOYAMA | | | | |
| Art Unit | 2861 | | | | |
| Examiner Name | Shelby Lee Fidler | | | | |
| Attorney Docket Number | Q80974 | | | | |

| | U.S. PATENT DOCUMENTS | | | | | |
|-----------------------|--------------------------|-----------------|-----------------------------------|------------------|-------------------------------------------------|--|
| Examiner Initials* | Cite No. ¹ | Document Number | | Publication Date | | |
| | | Number | Kind Code ² (if known) | MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | |
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| FOREIGN PATENT DOCUMENTS | | | | | | | |
|--------------------------|--------------|------------------------------|---------------------|--------------------------------------------------|------------------|-----------------------------|--------------------------|
| Examiner Initials* | Cite No.1 | Foreign Patent Document | | | Publication Date | Name of Patentee or | |
| | | Country Code ³ | Number ⁴ | Kind Code ⁵ (if known) | MM-DD-YYYY | Applicant of Cited Document | Translation ⁶ |
| | | EP | 1043166 | A2 | 10-11-2000 | SEIKO EPSON CORPORATION | Yes |
| | | EP | 1053883 | A2 | 11-22-2000 | CANON KABUSHIKI KAISHA | Yes |
| | | CN | 1375397 | A | 10-23-2002 | SEIKO EPSON CORPORATION | Abstract |
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| NON PATENT LITERATURE DOCUMENTS | | | | | |
|---------------------------------------------|---------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|--|--|
| Examiner Cite Initials* No. ¹ | | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published. | | | |
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.